

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Applicant:

Zhizang Chen et al

Date:

Filed:

April 30, 2001

Examiner:

Kiesha Rose

Serial:

09/846,047

Art Group:

2822

Atty Docket:

10007763-1

For:

Silicon-based dielectric tunneling emitter

Commissioner for Patents Alexandria, VA 22313

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir,

7

Attached is a copy of the PTO Official Filing Receipt and the assignment as filed for the abovementioned patent application.

The following item is incorrect: INVENTOR NAME

Incorrect Name:

Mechael J Regan

Correct Name:

Michael J Regan

The corrections are not due to any error by Applicants and **no fee** is due.

Applicants respectfully request issuance of a corrected filing receipt.

Hewlett Packard Company 1000 NE Circle Blvd., m/s 422B Corvallis, OR 97330 (541) 715-4197

Respectfully submitted,

Zhizang Chen et al

Attorney for Applicant

I hereby certify that this correspondence is being Deposited with the United States Postal Service as first class mail in an envelope Addressed to: Commissioner for Patents,

Alexandria, Va 22313-1450.

Signature

Typed Name

Date of Signature





United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

 APPLICATION NUMBERY
 FILING DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET.NO
 DRAWINGS
 TOT CLAIMS
 IND CLAIMS

 09/846,047
 04/30/2001
 2838
 2094
 10007763-1
 12
 63
 9

CONFIRMATION NO. 4496

UPDATED FILING RECEIPT

OC000000006817432

HEWLETT-PACKARD COMPANY Intellectual Property Administration P.O. Box 272400 Fort Collins, CO 80527-2400

Date Mailed: 10/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Zhizang Chen, Corvallis, OR; Michael David Bice, Corvallis, OR; Ronald L. Enck, Corvallis, OR; Mechael J. Regan, Corvallis, OR; Thomas Novet, Corvallis, OR; Paul J. Benning, Corvallis, OR;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 06/21/2001

Projected Publication Date: 10/31/2002

Non-Publication Request: No

Early Publication Request: No

Title

Silicon-based dielectric tunneling emitter

Preliminary Class



LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).